

NONPROFIT RATE AGREEMENT

EIN #: 223232968

NSF INS CODE: 4001926000

ORGANIZATION:

DATE: October 31, 2016

Cary Institute of Ecosystem Studies Inc.

FILING REF: The preceding Agreement was dated April 10, 2014

The rates approved in this agreement are for use on grants, contracts and other agreements with the Federal Government, subject to the conditions in Section II.

SECTION I:

INDIRECT COST RATES

<u>Type</u>	<u>Effective Period</u>	<u>Rate</u>	<u>Base</u>
PREDETERMINED	07/01/2016 – 06/30/2018	57 %	a

Rate Application Base:

a) **Modified Total Direct Costs** - Total direct costs excluding equipment, capital expenditures, participant support, rental costs, tuition remission, scholarships and fellowships, and subaward / subcontract costs exceeding \$25,000 per subcontract / subaward (regardless of the period covered by the subaward or subcontract).

FINAL rates are applicable to a specified past period and are based on the actual costs of the period. A final rate is not subject to adjustment.

PREDETERMINED rates are applicable to a current or future period and are based upon an estimate of the costs to be incurred during the period. A predetermined rate is not subject to adjustment.

PROVISIONAL rates are rates that are subject to adjustment based on final actual costs incurred. It is important to note that NSF will not amend a grant or cooperative agreement award solely to provide additional funds for increased indirect costs. Therefore regardless of the provisional nature of these rates, the awardee is subject to the limitation of funds provided by those awards.

SECTION II: GENERAL TERMS

- A. LIMITATIONS: Use of the rates contained in this agreement is subject to any applicable contractual or grant limitations. Acceptance of these rates agreed to herein is predicated upon the conditions: (1) that no costs other than those incurred by the contractor or grantee were included in its indirect cost proposal and that such costs are legal obligations of the contractor or grantee, (2) that the same costs that have been treated as indirect costs have not been claimed as direct costs, and (3) that similar types of costs have been accorded consistent treatment.
- B. ACCOUNTING CHANGES: The rates contained in this agreement are based on the accounting system in effect at the time the proposal was prepared and the rates were negotiated. Changes to the method of accounting which effect the amount of reimbursement resulting from the use of these rates requires the prior approval of this office. Failure to obtain such approval may result in subsequent cost disallowances.
- C. NOTIFICATION TO FEDERAL AGENCIES: Copies of this document may be provided to other Federal offices as a means of notifying them of the rates agreed to herein. Negotiator

BY THE ORGANIZATION:

Cary Institute of Ecosystem Studies
(Organization)

[Signature]
(Signature)

Holly Talbot
(Name)

Debet Adm'l Controller
(Title)

11/10/16
(Date)

ON BEHALF OF THE FEDERAL GOVERNMENT:

National Science Foundation
(Agency)

[Signature]
(Signature)

Charlie Zeigler
(Name)

Team Lead Indirect Costs,
BFA/DAIS/CAAR
(Title)

10/31/2016
(Date)

NSF Negotiator: Christi Whittredge
Telephone: (703) 292-2540